

1 UNITED STATES COURT OF APPEALS

2 FOR THE SECOND CIRCUIT

3 August Term, 2003

4 (Argued: April 21, 2004

5 Final submission: May 12, 2004

Decided: May 10, 2005

6 Errata Filed: June 3, 2005)

7 Docket Nos. 02-9471, 02-9472 & 02-9473

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9 A.R., on behalf of her minor child, R.V.,
10 M.S., on behalf of her minor child, I.O.,
11 M.L., on behalf of her minor child, J.L.,

12 Plaintiffs-Appellees,

13 - v -

14 NEW YORK CITY DEPARTMENT OF EDUCATION,

15 Defendant-Appellant.

16 -----

17 Docket No. 03-7258

18 S.W. and M.M., on behalf of N.W.,

19 Plaintiffs-Appellees,

20 - v -

21 BOARD OF EDUCATION OF THE CITY OF NEW YORK, (District 2),

22 Defendant-Appellant.

23 -----

24 Before: McLAUGHLIN, SACK, and SOTOMAYOR, Circuit Judges.

25 Separate appeals from judgments of the United States District
26 Court for the Southern District of New York (Constance Baker Motley and
27 Shira A. Scheindlin, District Judges) (1) awarding attorneys' fees to
28 the plaintiffs as "prevailing parties" under the Individuals with
29 Disabilities Education Act, 20 U.S.C. § 1400 et seq., and (2) finding

1 reasonable a rate of \$350 per hour for representation at state
2 administrative proceedings and rates of \$350 to \$375 per hour for
3 representation on fee applications in the district court.

4 Affirmed. Remanded for further proceedings with respect to
5 possible attorneys' fees incurred on appeal.

6 KRISTIN M. HELMERS, Assistant Corporation
7 Counsel of the City of New York, (Michael A.
8 Cardozo, Corporation Counsel of the City of
9 New York, Leonard Koerner, Martin Bowe, of
10 counsel), New York, NY, for defendant-
11 appellant in both appeals.

12 MICHAEL D. HAMPDEN, Legal Services for
13 Children, Inc., New York, NY, for plaintiffs-
14 appellees A.R., M.S., and M.L. in Nos. 02-
15 9471, 02-9472, and 02-09473.

16 GARY S. MAYERSON, Mayerson & Associates,
17 (Amanda L. Oren, of counsel), New York, NY,
18 for plaintiffs-appellees S.W. and M.M. in No.
19 03-7258.

20 SACK, Circuit Judge:

21 Under Buckhannon Board & Care Home, Inc. v. West Virginia
22 Department of Health & Human Resources, 532 U.S. 598 (2001),
23 "[e]ssentially, in order to be considered a 'prevailing party' [to
24 enable a plaintiff to take advantage of a federal fee-shifting
25 statute] . . . , a plaintiff must not only achieve some 'material
26 alteration of the legal relationship of the parties,' but that change
27 must also be judicially sanctioned." Roberson v. Giuliani, 346 F.3d
28 75, 79-80 (2d Cir. 2003) (quoting Buckhannon, 532 U.S. at 603).
29 Neither Buckhannon, nor Roberson explaining it, explicitly instructs
30 us, however, how to apply the rule in Buckhannon to fees awarded with
31 respect to the state administrative proceedings relevant to this

1 appeal. Nor has the scope of the district court's discretion to
2 determine the amount of such an award been clarified in the context of
3 fees for administrative proceedings.

4 The plaintiffs-appellees A.R., M.S., M.L., and S.W. and M.M.¹
5 (collectively the "Parents") instituted four separate New York State
6 administrative proceedings challenging, under the Individuals with
7 Disabilities Education Act ("IDEA"), 20 U.S.C. § 1400 et seq., the
8 special educational programs that the defendant-appellant New York City
9 Department of Education (the "DOE")² had provided for the Parents'
10 disabled children. In two of the four proceedings, impartial hearing
11 officers ("IHOs") entered decisions for the plaintiffs on the merits.³

¹ S.W. and M.M. are, together, the parents of one child involved in administrative proceedings against the New York City Department of Education; A.R., M.S., and M.L. are each a parent of one such child.

² The Board of Education of the City of New York was renamed the "New York City Department of Education" during the pendency of this action. The caption for the appeal involving S.W. and M.M. uses its former name.

³

New York parents who believe an [individualized educational plan ("IEP")] is insufficient under the IDEA may challenge it in an "impartial due process hearing," 20 U.S.C. § 1415(f), before an IHO appointed by the local board of education, see N.Y. Educ. L. § 4404(1). At that hearing, the school district has the burden of demonstrating the appropriateness of its proposed IEP. See, e.g., Walczak [v. Fla. Union Free Sch. Dist.], 142 F.3d [119,] 122 [(2d Cir. 1998)] (collecting cases). The decision of an IHO may be appealed to [a state review officer (SRO)], see N.Y. Educ. L. § 4404(2); see also 20 U.S.C. § 1415(g), and the SRO's decision may in turn be challenged in either state or federal court, see 20 U.S.C. § 1415(i)(2)(A).

1 In the two others, IHOs issued "Statements of Agreement and Order" that
2 recorded the terms of settlement agreements between the parties. In
3 one of the two latter cases, the plaintiff requested a second hearing
4 on an additional claim, and an IHO entered an order and stipulation
5 that disposed of that claim.

6 The IDEA grants courts the discretionary power to "award
7 reasonable attorneys' fees . . . [to] the prevailing party" "[i]n any
8 action or proceeding brought under" the IDEA. 20 U.S.C.
9 § 1415(i)(3)(B). Each parent sought, under that provision, to recover
10 the legal fees he or she incurred in pursuing administrative
11 proceedings against the DOE. When their non-judicial efforts proved
12 unsuccessful, the parties brought suit in separate but similar actions
13 in the United States District Court for the Southern District of New
14 York (Constance Baker Motley and Shira A. Scheindlin, District Judges).⁴
15 The district judges awarded the Parents, as "prevailing parties" under
16 the IDEA, the attorneys' fees that they sought.

17 Although these two appeals have not been consolidated,
18 because of their similarity we heard them together and now decide them
19 together. To resolve them, we must determine, inter alia, whether,
20 through the administrative proceedings for which fees are sought, the
21 Parents each sufficiently achieved a judicially sanctioned "material
22 alteration of the legal relationship of the parties," or its

Grim ex rel. Chelsea v. Rhinebeck Cent. Sch. Dist., 346 F.3d 377,
379-80 (2d Cir. 2003).

⁴ The fee applications for A.R., M.S., and M.L. were consolidated in the district court before Judge Motley.

1 equivalent, sufficient to entitle him or her to legal fees as the
2 "prevailing party" under the IDEA and Buckhannon, and whether the fee
3 awards conform to IDEA requirements. We ultimately agree with the
4 district judges and therefore affirm.⁵

5 **BACKGROUND**

6 The Parents challenged under the IDEA the special educational
7 programs that the DOE had provided for their disabled children.

8 The M.S. Orders

9 M.S. participated in two administrative hearings before an
10 IHO on behalf of her child, I.O. The first concluded when the IHO
11 issued a "Statement of Agreement and Order" that recorded the terms of
12 a settlement agreement between M.S. and the DOE.

13 The M.S. Agreement and Order, signed by the IHO, recites, in
14 part:

15 [I.O.'s] parent [M.S.] sought an impartial hearing
16 to obtain compensatory services for him for the
17 remainder of the academic year or until such time
18 as he actually enters a private school. The parent
19 and student were both present at the hearing, and
20 were represented by counsel. The [DOE] was
21 represented by [the] supervisor of
22 psychologists, . . . District 4

23 At the hearing, the parties engaged in extensive
24 discussions held off the record. As a result of
25 these discussions, the parties reached various
26 points of agreement. These were put into the
27 record, with the assent of the parties. [The IHO]
28 issued, where appropriate, orders implementing the
29 points of agreement. These are repeated [in this
30 Statement of Agreement and Order].

⁵ We remand the cases, however, to permit the Parents to apply for legal fees to which they may be entitled, if any, with respect to this appeal.

1 In re I.O., Case No. 39106, Statement of Agreement & Order at 2 (Bd. of
2 Educ. of the City of N.Y. Apr. 13, 2000, as corrected Apr. 24, 2000).
3 The Agreement and Order then sets forth the terms of the parties'
4 agreement, principally that I.O. would receive from the DOE (1) the
5 right to attend private school at the district's expense, (2)
6 one-on-one tutoring, therapy, and counseling, and (3) an immediate
7 transfer to a more appropriate public school until I.O. was placed at a
8 private school. The Agreement and Order concludes: "The above points
9 of agreement and orders were issued orally at the hearing, and were
10 fully effective as of the oral issuance. This written Statement of
11 Agreement and Order reiterates and affirms the oral orders and points
12 of agreement." Id. at 3.

13 M.S. then sought another hearing on a separate claim,
14 asserting that the first "hearing and agreement did not address issues
15 relating to prior years, because [M.S.]'s request for the [first]
16 hearing made reference only to the current year." Letter from Michael
17 D. Hampden, Legal Services for Children, Inc., to the New York City
18 BOE, Impartial Hearing Office of Apr. 24, 2000, at 2. On November 6,
19 2000, in this second administrative hearing, held before a different
20 IHO, the IHO "so ordered" a three-page written Order and Stipulation of
21 the parties setting forth the terms of a settlement agreement between
22 them. The Order and Stipulation provides that M.S.'s son I.O. is
23 entitled to receive from the DOE three years of "compensatory
24 education," to be provided after he graduates or reaches the age of
25 twenty-one, whichever comes first. At a telephonic hearing the same
26 day, transcribed and reduced to a verbatim transcript, the IHO stated

1 that he would order, and thereby give effect to, the terms of the
2 parties' agreement.

3 [IHO]: Okay, I understand that you've both
4 settled . . . this case?

5 [Lawyer for I.O.]: That's right.

6 [Lawyer for DOE]: Yes.

7
8 [IHO]: And, you've settled it pursuant to an order
9 and stipulation that the [DOE] faxed me about
10 a half hour ago. Correct?

11 [Lawyer for DOE]: Yes.

12 [Lawyer for I.O.]: That's right.

13 [IHO]: Okay, then at this point, I will so order, and
14 sign the order and stipulation and mark this
15 case as settled.

16 [Lawyer for DOE]: Great.

17 [Lawyer for I.O.]: Thank you.

18 [IHO]: Are there any objections for the [DOE]?

19 [Lawyer for DOE]: No.

20 [IHO]: [Inquiring the same of the lawyer for I.O.:]

21 [Lawyer for I.O.]: No.

22 [IHO]: Okay, then the order is hereby -- I hereby
23 order the stipulation to be put into [e]ffect,
24 and I'll send the paperwork in.

25 [Lawyer for DOE]: Wonderful.

26 Tr. of Hearing, Nov. 6, 2000, at 14-15, In re I.O., Case No. 39486.

27 About a week later, on November 14, 2000, the IHO signed an order
28 dismissing the case.

29 The M.L. Order

1 M.L. challenged the DOE's treatment of her child J.L. in a
2 proceeding that also culminated in a settlement agreement among the
3 parties. On May 14, 2001, the IHO recited the terms of the agreement
4 orally at the conclusion of a brief administrative hearing. He
5 preceded the recitation with the following observations:

6 [IHO]: Okay. We had an off the record discussion
7 regarding this matter. I'll just recap some
8 things.

9
10

11 [J.L.]'s supposed to be provided with a
12 bilingual teacher. . . .

13 For some reason, that service hasn't been
14 provided

15
16
17

18
19 So that's why we're here today
20 because . . . [J.L.]'s mother[] was asking
21 that the service be provided and that I so
22 order.

23
24 The [BOE and the lawyers for all parties]
25 [seem to] have . . . come to an agreement.
26 Basically, they're stipulating to an agreement
27 which they have asked me to order.

28 In fact, the stipulation and agreement that I
29 will order will read as follows

30 Tr. of Hearing, May 14, 2001, at 4, In re J.L., Case No. 42736. The
31 agreement was also reflected in a written "Statement of Agreement and
32 Order." The Agreement and Order concluded:

33 STATEMENT OF AGREEMENT AND ORDER

34 Accordingly, the parties AGREE and it is
35 SO ORDERED, that on an interim basis, the Board pay
36 to . . . a [specified] speech and language
37 therapist, or to any other provider on the Board
38 Related Services Provider list, the fees incurred
39 for providing [J.L.] with monolingual [English]

1 services three forty-five-minute sessions per week
2 through [a specified date].

3 In re J.L., Case No. 42736, Statement of Agreement and Order at 2-3
4 (Bd. of Educ. of the City of N.Y. May 17, 2001). The document was
5 dated and signed by the IHO only. Id. at 3.⁶

6 The A.R. Decision

7 In the proceeding that A.R. brought on behalf of her child
8 R.V., the IHO ordered the DOE (1) to determine a new individualized
9 educational plan ("IEP") for R.V., (2) to pay for R.V. to attend
10 private school, and (3) to provide R.V. with one year of additional
11 "compensatory services" to support R.V.'s developing skills to
12 participate in general education. In re R.V., Case No. 42764, Findings
13 of Fact and Decision at 9 (Bd. of Educ. of the City of N.Y. June 14,
14 2001).

15 The S.W. and M.M. Decision

16 In the hearing that S.W. and M.M. brought on behalf of their
17 child N.W., the IHO ordered the DOE to provide additional behavioral,
18 occupational, and speech therapy for N.W. and to reimburse S.W. and
19 M.M. for the costs incurred in providing such additional therapy prior
20 to the IHO decision. In re I.O., Case No. 39106, Findings of Fact and
21 Decision at 15-16 (Bd. of Educ. of the City of N.Y. Feb. 18, 1999, as
22 amended Mar. 23, 1999).

23 Retention of Counsel

⁶ The signature was followed by boilerplate instructions on
procedures for appealing the decision. Statement of Agreement
and Order, May 17, 2001, at 3, In re J.L., Case No. 42736.

1 Before instituting these administrative proceedings, the
2 Parents all retained counsel to represent them. Two lawyers, Todd
3 Silverblatt and Michael Hampden, associated with Legal Services for
4 Children ("LSC"), a not-for-profit legal services organization that
5 specializes in, inter alia, education law, represented A.R., M.S., and
6 M.L. (the "LSC plaintiffs") in their administrative hearings. LSC did
7 not charge the LSC plaintiffs legal fees. Instead, the LSC plaintiffs
8 assigned to LSC their rights to recover from the DOE under the fee-
9 shifting provisions of the IDEA. That is "how [LSC] obtains a good
10 portion of its funding." M.S. ex rel. I.O. v. N.Y. City Bd. of Educ.,
11 Nos. 01 Civ. 4015, 01 Civ. 10871, 01 Civ. 10872, 2002 WL 31556385, at
12 *1, 2002 U.S. Dist. LEXIS 22220, at *4 (S.D.N.Y. Nov. 18, 2002). Gary
13 Mayerson represented S.W. and M.M. in their IDEA hearing, S.W. ex rel.
14 N.W. v. Bd. of Educ. (Dist. Two), 257 F. Supp. 2d 600, 602, 604
15 (S.D.N.Y. 2003), for which representation he charged them legal fees.

16 Request for Attorneys' Fees and District Court Proceedings

17 Following the completion of the administrative hearings, LSC,
18 and Mayerson on behalf of S.W. and M.M., requested attorneys' fees from
19 the DOE. For the representation of M.S., LSC sought from the DOE a
20 total of \$3,225, reflecting 20.5 hours of legal services rendered by
21 Hampden and Silverblatt. Hampden and Silverblatt billed at an hourly
22 rate of \$250 per hour for 12.9 hours and offered to provide 7.6 hours
23 of services without charge. For the representation of M.L., LSC sought
24 from the DOE \$2,370, which reflected 7.0 hours of legal services,
25 primarily provided by Hampden at a rate of \$350 per hour. For the
26 representation of A.R., LSC sought from the DOE \$3,458.50, which

1 reflected primarily 9.25 hours of legal services rendered by Hampden at
2 a rate of \$350 per hour. For his representation of S.W. and M.M.,
3 Mayerson, on behalf of his clients, sought from the DOE \$14,747, which
4 reflected 40.4 hours of legal services rendered by Mayerson at a rate
5 of \$365 per hour. The DOE refused to pay any of the fee requests on
6 the grounds that the hourly rates of \$250 to \$365 per hour were
7 unreasonable.

8 Thereafter, the Parents filed complaints in the United States
9 District Court for the Southern District of New York claiming that they
10 had been denied attorneys' fees to which they were entitled under the
11 IDEA. On April 30, 2002, after the LSC plaintiffs' cases were
12 consolidated, they moved for summary judgment, seeking a total award of
13 \$18,706, of which \$13,003.50 represented legal services rendered in the
14 course of the administrative representation of the LSC plaintiffs⁷ and
15 \$5,702.50 reflected lawyers' fees for the district court litigation for
16 attorneys' fees itself. Together with their summary judgment motion,
17 the LSC plaintiffs included affidavits supporting their assertion that
18 \$350 per hour was the hourly "rate[] prevailing in the community in
19 which the action or proceeding arose for the kind and quality of
20 services furnished." 20 U.S.C. § 1415(i)(3)(C). In response, the DOE
21 argued, inter alia, that the appropriate rate should be between \$125
22 and \$175 per hour and submitted affidavits supporting that contention.
23 The DOE also asserted that M.S. and M.L. were not "prevailing parties"

⁷ For the representation in M.S.'s case, the plaintiffs increased their requested hourly rate from \$250 to \$350, stating that the earlier rate of \$250 had been proposed for purposes of expediting a settlement and was not a market rate.

1 as that term is used in the IDEA because they did not succeed on the
2 merits in their administrative hearings. The DOE argued further that
3 M.S. and M.L. were not "prevailing parties" by virtue of the IHOs "so-
4 ordering" their settlement agreements because, the DOE contended, the
5 IHOs did not retain enforcement jurisdiction over the agreements or
6 have the authority to so-order the agreements in the first place. On
7 November 15, 2002, Judge Motley granted the LSC plaintiffs' motion for
8 summary judgment, awarding them \$18,706 in fees. M.S., 2002 WL
9 31556385, at *6, 2002 U.S. Dist. LEXIS 22220, at *20.

10 On October 31, 2002, in a separate proceeding before Judge
11 Scheindlin, S.W. and M.M. filed a motion for attorneys' fees,
12 requesting fees for Mayerson's services at a rate of \$350 to \$375 per
13 hour. The DOE did not contest that S.W. and M.M. were "prevailing
14 parties" as a result of the relief granted them in their administrative
15 proceedings. The DOE asserted, however, that the fees sought were not
16 reasonable, and that a rate of \$125 to \$190 per hour was proper for the
17 services provided.

18 On February 26, 2003, the district court granted S.W. and
19 M.M. \$10,644.73 in attorneys' fees and related expenses for their
20 administrative hearings. Relying in part on the award of attorneys'
21 fees to the LSC plaintiffs in their action, the court found that \$350
22 per hour was a reasonable rate for Mayerson's legal representation
23 during the administrative proceedings. S.W., 257 F. Supp. 2d at
24 604-05. The court also found that Mayerson "spent a total of 30.2
25 hours in connection with the administrative proceedings" but reduced
26 this figure slightly because it found that some of the relevant time

1 records were vague or incomplete. Id. at 606-07. The court also
2 determined that Mayerson spent 6.8 hours on the fee application process
3 in the district court and that, because Mayerson's expertise had
4 increased since he represented S.W. and M.M. in their administrative
5 proceedings in 1999, the reasonable rate for these services was \$375
6 per hour. Id. at 607. The court awarded the plaintiffs \$3,998.15 in
7 attorneys' fees⁸ and related costs in connection with the fee
8 application. Id. at 609.

9 The DOE appeals from both district court decisions. Because
10 of the similarities of the appeals, we heard argument in them together
11 and now decide them together.

12 DISCUSSION

13 I. The IDEA

14 The IDEA aims "to ensure that all children with disabilities
15 have available to them a free appropriate public education that
16 emphasizes special education and related services designed to meet
17 their unique needs." 20 U.S.C. § 1400(d)(1)(A). To achieve this goal,
18 "[t]he IDEA requires that states [that receive certain federal funds]
19 offer parents of a disabled student an array of procedural safeguards
20 designed to help ensure the education of their child." Polera v. Bd.
21 of Educ., 288 F.3d 478, 482 (2d Cir. 2002); see also Lillbask ex rel.
22 Mauclaire v. Conn. Dep't of Educ., 397 F.3d 77, 81-82 (2d Cir. 2005).
23 Under the IDEA, a parent may "present complaints with respect to any

⁸ This amount included, in addition to fees for Mayerson's services, 7.9 hours of an associate's time at a rate of \$150 per hour and 0.5 hours of a paralegal's time at a rate of \$75 per hour. S.W., 257 F. Supp. 2d at 608 n.9.

1 matter relating to the identification, evaluation, or educational
2 placement of the child, or the provision of a free appropriate public
3 education to such child." 20 U.S.C. § 1415(b)(6). "[T]he parents
4 involved in such complaint shall have an opportunity for an impartial
5 due process hearing," id. § 1415(f)(1), which, under applicable
6 provisions of New York State law, is conducted by an IHO. See N.Y.
7 Educ. L. § 4404(1).

8 To further ensure that children with disabilities receive
9 "free appropriate public education[s]" the IDEA provides that "[i]n any
10 action or proceeding brought under [the IDEA], [a] court, in its
11 discretion, may award reasonable attorneys' fees as part of the costs
12 to the parents of a child with a disability who is the prevailing
13 party." 20 U.S.C. § 1415(i)(3)(B). Such fees "shall be based on rates
14 prevailing in the community in which the action or proceeding arose for
15 the kind and quality of services furnished." Id. § 1415(i)(3)(C).

16 II. The DOE's Position on Appeal

17 The DOE challenges the district court's awards of attorneys'
18 fees in four respects. First, the DOE contends that M.S. and M.L. are
19 not "prevailing parties" eligible for attorneys' fees because they did
20 not obtain decisions on the merits in their administrative hearings and
21 because the "so-ordered" settlement agreements, over which the IHOs did
22 not retain enforcement jurisdiction, did not constitute consent
23 decrees. Second, the DOE argues that the "community" for determining
24 the appropriate hourly rate for attorneys' fees should be the community
25 of practitioners who appear before the DOE for IDEA hearings, rather
26 than the community of practitioners in the federal district in which

1 the fee application was commenced. Third, the DOE argues that the
2 district court abused its discretion in determining that rates of \$350
3 to \$375 per hour were reasonable for the Parents' counsel's
4 representation with respect to administrative hearings, because such
5 representation "is simply of a different 'kind and quality' than the
6 more sophisticated legal services required to vindicate statutory or
7 constitutional rights in a federal forum." Appellant's Br. at 53, A.R.
8 ex rel. R.V. v. N.Y. City Dep't of Educ.; see also Appellant's Br. at
9 29-30, S.W. ex rel. M.M. v. Bd. of Educ. (Dist. Two). Finally, the
10 DOE asserts that fee disputes in federal court are "ancillary" to the
11 underlying administrative proceedings, and, consequently, the district
12 court erred in awarding S.W. and M.M. fees at a higher rate for their
13 fee application in the district court than for representation during
14 administrative proceedings.

15 III. Standard of Review

16 Generally, we review a district court's grant of attorneys'
17 fees under the IDEA for abuse of discretion. J.C. v. Reg'l Sch. Dist.
18 10, Bd. of Educ., 278 F.3d 119, 123 (2d Cir. 2002); accord Murphy v.
19 Arlington Cent. Sch. Dist. Bd. of Educ., 402 F.3d 332, 335 (2d Cir.
20 2005). "We review de novo the District Court's interpretation of the
21 relevant fee statute itself[,] . . . interpret[ing] the IDEA fee
22 provisions in consonance with those of other civil rights fee-shifting
23 statutes." I.B. ex rel. Z.B. v. N.Y. City Dep't of Educ., 336 F.3d 79,
24 80 (2d Cir. 2003) (per curiam).⁹

⁹ The legislative history of the IDEA indicates that its fee-shifting provisions were intended to mirror those of 42

1 "Because the district court decided th[ese] case[s] at the
2 summary judgment stage, . . . we also must reverse its decision[s] if
3 [they] required the resolution of any genuinely disputed material
4 fact." G.M. v. New Britain Bd. of Educ., 173 F.3d 77, 80 (2d Cir.
5 1999). "A dispute regarding a material fact is genuine 'if the
6 evidence is such that a reasonable jury could return a verdict for the
7 nonmoving party.'" Stuart v. Am. Cyanamid Co., 158 F.3d 622, 626 (2d
8 Cir. 1998) (quoting Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248
9 (1986)), cert. denied, 526 U.S. 1065 (1999).

10 IV. Are the Parents "Prevailing Parties"?

U.S.C. § 1988. The fee-shifting provisions of the IDEA were specifically designed to overrule the Supreme Court's decision in Smith v. Robinson, 468 U.S. 992 (1984), which held that parents claiming violations of the IDEA could not obtain attorneys' fees under section 1988. See, e.g., King v. Floyd County Bd. of Educ., 228 F.3d 622, 625 (6th Cir. 2000). The legislative history of the fee-shifting amendment to the IDEA also refers to four Supreme Court decisions, City of Riverside v. Rivera, 477 U.S. 561 (1986), Marek v. Chesny, 473 U.S. 1 (1985), Blum v. Stenson, 465 U.S. 886 (1984), and Hensley v. Eckerhart, 461 U.S. 424 (1983), each of which involved awards of attorneys' fees under section 1988. See 132 Cong. Rec. 17,608 (1986) (statement of Rep. Williams). Thus, "determinations as to whether a parent is awarded fees and the amount of the award are governed by applicable decisions interpreting 42 U.S.C. [§] 1988." Id. (emphasis added).

Recently, in Murphy, supra, we addressed the difference between the IDEA's and section 1988's treatment of awards of expert witness fees. We held that under the IDEA, expert witness fees, in addition to attorneys' fees, were recoverable as part of the costs of a litigation, even though such fees are not available under section 1988. Murphy, 402 F.3d at 336-37. In holding that the IDEA differed from section 1988 in this respect, however, we did not imply that the IDEA's fee-shifting provisions differed from section 1988 in all respects. We therefore continue to interpret the IDEA's fee-shifting provisions in consonance with section 1988 and other federal civil fee-shifting statutes, unless there is a specific reason – such as with regard to expert fees – not to do so.

1 A. Buckhannon Board & Care Home, Inc. v. West Virginia Department of
2 Health & Human Resources

3 The IDEA, as noted, contains a fee-shifting provision under
4 which a "prevailing party" may recover attorneys' fees from the party
5 against which it prevailed. See 20 U.S.C. § 1415(i)(3)(B). The first
6 question we must address, then, is whether the Parents were "prevailing
7 parties" in the proceedings before the IHOs.

8 Fee-shifting provisions in federal statutes are not uncommon
9 -- "[n]umerous federal statutes allow courts to award attorney's fees."
10 Buckhannon Bd. & Care Home, Inc. v. W. Va. Dep't of Health & Human
11 Res., 532 U.S. 598, 600 (2001).¹⁰ Until the Supreme Court's decision in
12 Buckhannon, this Court and most other United States Courts of Appeals,
13 in interpreting those statutes, often employed the so-called "catalyst
14 theory," id. at 601-02 & n.3; Roberson v. Giuliani, 346 F.3d 75, 79 (2d
15 Cir. 2003), which "posits that a plaintiff is a 'prevailing party' if
16 it achieves the desired result because the lawsuit brought about a
17 voluntary change in the defendant's conduct," Buckhannon, 532 U.S. at
18 601. "Under the catalyst theory, a court could award attorneys' fees
19 based solely upon a private agreement among the parties settling their
20 dispute, even though no legal relief such as a consent decree had been

¹⁰ Some statutes, including those with which Buckhannon dealt, use the singular "attorney's fees"; some, such as the IDEA, use the plural "attorneys' fees." We use one or the other depending on the statute to which reference is being made. See NAACP v. Town of East Haven, 259 F.3d 113, 114 n.1 (2d Cir. 2001) (explaining the use of the singular form because of the wording of the fee-shifting provisions of Title VII involved in that appeal), cert. denied, 534 U.S. 1129 (2002).

1 obtained." Pres. Coalition v. Fed. Transit Admin., 356 F.3d 444, 450
2 (2d Cir. 2004).

3 The Buckhannon Court addressed the validity of the "catalyst
4 theory" in the context of an award made pursuant to fee-shifting
5 provisions of the Fair Housing Amendments Act of 1988 ("FHAA"), 42
6 U.S.C. § 3613(c)(2) ("[T]he court, in its discretion, may allow the
7 prevailing party . . . a reasonable attorney's fee and costs."), and
8 the Americans with Disabilities Act of 1990 ("ADA"), 42 U.S.C. § 12205
9 ("[T]he court . . . , in its discretion, may allow the prevailing
10 party . . . a reasonable attorney's fee, including litigation expenses,
11 and costs"). Buckhannon, 532 U.S. at 601. The Court observed
12 that judgments on the merits for a plaintiff in a judicial action
13 governed by such statutes rendered the plaintiff a "prevailing party"
14 and that "settlement agreements enforced through a consent decree may
15 [also] serve as the basis for an award of attorney's fees." Id. at
16 603-04. The Court explained that "enforceable judgments on the merits
17 and court-ordered consent decrees create the 'material alteration of
18 the legal relationship of the parties' necessary to permit an award of
19 attorney's fees." Id. at 604 (quoting Tex. State Teachers Ass'n v.
20 Garland Indep. Sch. Dist., 489 U.S. 782, 792-93 (1989)). The Court
21 held, however, that the "catalyst theory" was not a valid basis for
22 awarding attorney's fees because "[i]t allows an award where there is
23 no judicially sanctioned change in the legal relationship of the
24 parties." Id. at 605. "Private settlements do not entail the judicial
25 approval and oversight involved in consent decrees." Id. at 604 n.7.

1 A defendant's voluntary change in conduct, although
2 perhaps accomplishing what the plaintiff sought to
3 achieve by the lawsuit, lacks the necessary
4 judicial imprimatur on the change. Our precedents
5 thus counsel against holding that the term
6 "prevailing party" authorizes an award of
7 attorney's fees without a corresponding alteration
8 in the legal relationship of the parties.

9 Id. at 605 (emphasis in original).

10 B. Application of Buckhannon to Fees under the IDEA

11 We begin our analysis of the application of Buckhannon to the
12 IDEA on substantial common ground.

13 1. Buckhannon's Application to IDEA Fees. First, the
14 parties recognize that we "interpret the IDEA fee provisions in
15 consonance with those of other civil rights fee-shifting statutes."
16 I.B. ex rel. Z.B. v. N.Y. City Dep't of Educ., 336 F.3d 79, 80 (2d Cir.
17 2003) (per curiam); accord Roberson, 346 F.3d at 79 n.3; J.C. v. Reg'l
18 Sch. Dist. 10, Bd. of Educ., 278 F.3d 119, 123-24 (2d Cir. 2002).

19 Hence, "the standards used to interpret the term 'prevailing party'
20 under any given fee-shifting statute 'are generally applicable in all
21 cases in which Congress has authorized an award of fees to a
22 "prevailing party.'" Id. at 123 (quoting Hensley v. Eckerhart, 461
23 U.S. 424, 433 n.7 (1983)).

24 Those standards include the requirements set forth in
25 Buckannon. As we observed in J.C., "Buckhannon concerned the fee-
26 shifting provisions of the Americans with Disabilities Act of
27 1990 . . . , 42 U.S.C. § 12205, and the Fair Housing Amendments Act of
28 1988 . . . , 42 U.S.C. § 3613(c)(2), but the decision expressly
29 signaled its wider applicability." Id. We concluded in J.C. that

1 Buckhannon applies to the IDEA. Id. at 125; accord Alegria ex rel.
2 Alegria v. Dist. of Columbia, 391 F.3d 262 (D.C. Cir. 2004); Doe v.
3 Boston Pub. Sch., 358 F.3d 20 (1st Cir. 2004).

4 2. Fees Where an IHO Orders Relief on the Merits. Second,
5 the parties agree, as do we, that a plaintiff who receives IHO-ordered
6 relief on the merits in an IDEA administrative proceeding is a
7 "prevailing party." He or she may therefore be entitled to payment of
8 attorneys' fees under the IDEA's fee-shifting provisions.

9 The statute itself requires this conclusion. The IDEA
10 permits a court to "award reasonable attorneys' fees" to a "prevailing
11 party" "[i]n any action or proceeding brought under" the statute. 20
12 U.S.C. § 1415(i)(3)(B) (emphasis added). In the context of the IDEA,
13 "proceeding" refers to, or at least includes, an administrative
14 proceeding. See, e.g., 20 U.S.C. § 1415(i)(3)(D)(i) ("Attorneys' fees
15 may not be awarded . . . in any action or proceeding under this section
16 for services performed subsequent to the time of a written offer of
17 settlement to a parent if [inter alia] . . . the offer is made . . . in
18 the case of an administrative proceeding, at any time more than 10 days
19 before the proceeding begins . . . and . . . the court or
20 administrative hearing officer [makes specified findings]"
21 (emphasis added)); 20 U.S.C. § 1415(i)(3)(D)(ii) (referring to
22 attorneys' fees awarded for meetings "convened as a result of an
23 administrative proceeding or judicial action" (emphasis added)). We
24 have so read the statute. See Vultaggio v. Bd. of Educ., 343 F.3d 598,
25 602 (2d Cir. 2003) (per curiam) (noting that "the impartial due process

1 hearing is a proceeding 'brought under' § 1415" for purposes of fee-
2 shifting under 20 U.S.C. § 1415(i)(3)(B)).

3 We agree, then, with the DOE that:

4 [I]t is well settled that attorney[s'] fees are
5 available to parents who prevail at an impartial
6 due process hearing. This Court implicitly so
7 ruled in Vultaggio v. [Board of Education]
8 Other Circuits have explicitly held that
9 attorney[s'] fees are available for appearances at
10 an IDEA due process hearing.

11 DOE's Supplemental Letter Br. of May 12, 2004, at 2, A.R. ex rel. R.V.
12 v. N.Y. City Dep't of Educ. (citations omitted).

13 We recognize that the situation before us differs from the
14 one confronted by the Supreme Court in Buckhannon: the application of
15 the FHAA's and ADA's fee-shifting provisions to plaintiffs who had
16 brought suit in federal court. Here, by contrast, we consider the
17 operation of the IDEA's fee-shifting provisions when applied to
18 administrative proceedings. Buckhannon's language in this regard
19 therefore does not map perfectly onto the meaning of "prevailing party"
20 as used in the context of IDEA administrative proceedings.

21 Concepts of "relief on the merits," Buckhannon, 532 U.S. at
22 603, "material alteration of the legal relationship of the parties,"
23 id. at 604 (quoting Tex. State Teachers Ass'n, 489 U.S. at 792-93), and
24 "consent decree," id., for example, have an obvious meaning when
25 applied to both judicial actions as in Buckhannon and the
26 administrative proceedings before us. But the Buckhannon Court also
27 referred to "judicially sanctioned change in the legal relationship of
28 the parties," id. at 605 (emphasis added), "judicial imprimatur," id.
29 (emphasis added), and "judicial approval and oversight," id. at 604 n.7

1 (emphasis added), as hallmarks of actions that may give rise to
2 "prevailing party" status. Because of their reference to judicial
3 action, these factors cannot serve literally as part of a test for
4 differentiating between those outcomes of purely administrative IDEA
5 proceedings that give rise to a plaintiff's "prevailing party" status
6 and those that do not.

7 But an IHO's decision on the merits in an IDEA proceeding
8 does constitute "administrative imprimatur." Although not "judicial,"
9 such an order changes the legal relationship between the parties: Its
10 terms are enforceable, if not by the IHO itself, then by a court,
11 including through an action under 42 U.S.C. § 1983. See, e.g., SJB v.
12 N.Y. City Dep't of Educ., No. 03 Civ. 6653, 2004 WL 1586500, 2004 U.S.
13 Dist. LEXIS 13227 (S.D.N.Y. July 14, 2003); see also Jeremy H. v. Mount
14 Lebanon Sch. Dist., 95 F.3d 272, 279 (3d Cir. 1996); cf. Polera v. Bd.
15 of Educ., 288 F.3d 478, 483 (2d Cir. 2002). In order to give effect to
16 the IDEA's intent to permit awards to winning parties in administrative
17 proceedings even where there has been no judicial involvement, as the
18 parties agree that we must, we conclude that the combination of
19 administrative imprimatur, the change in the legal relationship of the
20 parties arising from it, and subsequent judicial enforceability, render
21 such a winning party a "prevailing party" under Buckhannon's
22 principles.¹¹

¹¹ In J.C., we addressed a district court judgment that had awarded attorneys' fees pre-Buckhannon under the "catalyst theory." 278 F.3d at 120-21. We reversed the judgment, holding that Buckhannon had made such a "catalyst theory" award unsustainable. Id. at 124-25. Thus, attorneys' fees were not recoverable for representation in administrative hearings where,

1 3. The "Catalyst Theory" in the Context of IDEA Fee Awards.

2 Third, to paraphrase a passage in Buckhannon: Where an administrative
3 proceeding results in a purely private settlement, a defendant's change
4 in conduct, although perhaps accomplishing what the plaintiff sought to
5 achieve, lacks the necessary administrative imprimatur on the change in
6 the legal relationship between the parties. See Buckhannon, 532 U.S.
7 at 605. We therefore think it plain, and the parties agree, that under
8 Buckhannon, a settlement of an IDEA administrative proceeding between
9 the parties, followed by a dismissal of the proceedings -- without more
10 -- does not render the plaintiff a "prevailing party" for statutory
11 fee-shifting purposes no matter how favorable the settlement is to the
12 plaintiff's interests. To permit such a fee award would be to
13 reinstate the use of the now forbidden "catalyst theory." Because of
14 the absence of administrative imprimatur, such an award "falls on the

after agreement among the parties on the merits, the administrator did no more than dismiss the proceedings as moot. Id. at 122, 125. In the course of our discussion, we noted: "Moreover, even if the [parties' resolution] changed the legal relationship between the parties, this change was not judicially sanctioned, as required by Buckhannon." Id. at 125. We drew this conclusion while addressing the import of a private settlement agreement, noting that the administrative officer did not read the parties' agreement into the record or incorporate it directly or by reference into the order dismissing the hearing as moot. Id. at 122.

We do not think the panel intended to suggest that, even were administrative hearings to result in a change in the legal relationship among the parties sanctioned by an administrative officer, the plaintiff would not be the "prevailing party" for attorneys' fees purposes despite the absence of judicial proceedings. That would be contrary to the IDEA which, as we have noted more than once and the DOE concedes, provides that the plaintiff in such administrative "proceedings" may indeed be awarded attorneys' fees irrespective of judicial intervention and sanction.

1 other side of the line," id., that separates such a settlement from
2 orders that give rise to "prevailing party" status.

3 C. A.R.'s and S.W and M.M.'s Administrative Proceedings
4

5 A.R.'s administrative hearing and S.W and M.M.'s
6 administrative hearing each resulted in an order in favor of the parent
7 or parents and against the DOE. The DOE does not contest the district
8 court's determination that those parents were "prevailing parties."

9 D. M.L.'s and M.S.'s Administrative Proceedings

10 Based on the foregoing analysis, we agree with the Parents'
11 position that M.L. and M.S. are also entitled to "prevailing party"
12 status in the IHO proceedings in connection with which they each
13 obtained an administrative analog of a consent decree. We perceive no
14 reason to distinguish the outcomes of those proceedings from those
15 obtained by A.R. and S.W. and M.M. in theirs. We do not think that the
16 fact that the terms of the M.L. and M.S. orders arose out of an
17 agreement between the parties, rather than out of the wisdom of the
18 IHO, matters.¹²

¹² As the LSC plaintiffs' counsel points out: "[C]onsent orders incorporating settlements are an essential part of adjudicative decision-making." Appellees' Supplemental Letter Br. of May 11, 2004, at 15, A.R. ex rel. R.V. v. N.Y. City Dep't of Educ.; see also Alfred C. Aman, Jr. & William T. Mayton, Administrative Law § 9.5.2, at 279 (2d ed. 2001) ("Settlement is a dispute resolution technique that has long been a part of the administrative process."). "A consent order is an agreement reached in an administrative proceeding between parties one of which is usually the agency's litigation staff. . . . If [the agency accepts the agreement], it issues an order much as a court issues a consent decree. . . . An administrative consent order is a final agency order which is reviewable as if it were the product of a hearing." 2 Charles H. Koch, Jr., Administrative Law and Practice § 5.43, at 155 (2d ed. 1997).

1 Buckhannon established, in the context of judicial
2 proceedings, that "court-ordered consent decrees create the 'material
3 alteration of the legal relationship of the parties' necessary to
4 permit an award of attorney's fees." 532 U.S. at 604. The M.L. and
5 M.S. orders were, in substance, administrative consent decrees. See 2
6 Charles H. Koch, Jr., Administrative Law and Practice § 5.43, at 155
7 (2d ed. 1997). We think that they evidence the same combination of
8 administrative imprimatur, change in the legal relationship of the
9 parties, and judicial enforceability that renders the winner on the
10 merits in an IHO decision, such as A.R. and S.W. and M.M., a
11 "prevailing party" under the IDEA and Buckhannon.

12 By contrast, had the agreements between M.L. and the DOE, and
13 M.S. and the DOE, been purely private -- occasioned by the proceedings
14 but not ordered by the IHOs -- M.L. and M.S. would not have been
15 "prevailing parties." To hold otherwise would be to give effect to the
16 "catalyst theory" disapproved in Buckhannon. Had the IHOs done no more
17 than dismiss the cases following settlement, their involvement to that
18 extent would not be enough. But they did more: They incorporated the
19 terms of the settlements in dispositive administrative orders.¹³

20 Our view of Buckhannon's teaching is buttressed by the
21 Supreme Court's previous decision in Kokkonen v. Guardian Life

¹³ The DOE contends that M.S. and M.L. cannot be "prevailing parties" because the IHOs conducting their hearings lacked jurisdiction to enforce the terms of the settlement agreements, as memorialized in the orders concluding those hearings. Under our analysis, the fact that the IHOs, as is common in administrative procedures, have no enforcement mechanism of their own is irrelevant, at least so long as judicial enforcement is available.

1 Insurance Co. of America, 511 U.S. 375 (1994). The Kokkonen Court
2 confronted a related issue: Whether a court maintains jurisdiction to
3 enforce a settlement agreement when it does no more than "so-order" a
4 stipulation and order of dismissal that dismisses the complaint after
5 settlement without "so much as refer[ing] to the settlement agreement."
6 Id. at 376-77. Kokkonen held that it does not. Id. at 381-82. But,
7 the Court noted,

8 [t]he situation would be quite different if the
9 parties' obligation to comply with the terms of the
10 settlement agreement had been made part of the
11 order of dismissal -- either by separate
12 provision . . . or by incorporating the terms of
13 the settlement agreement in the order. In that
14 event, a breach of the agreement would be a
15 violation of the order, and ancillary jurisdiction
16 to enforce the agreement would therefore exist.

17 Id. at 381.

18 The question before us is, similarly, whether a settlement of
19 an administrative proceeding is the equivalent of an administrative
20 decree on the merits. "No," Kokkonen suggests, if it is followed only
21 by a dismissal of the administrative proceeding. The power and
22 authority of the administrative agency do not underlie the private
23 settlement agreement, which may only be enforced by a new and separate
24 proceeding by one party against another. But "yes," Kokkonen implies,
25 if "the parties' obligation to comply with the terms of the settlement
26 agreement ha[s] been made part of the order of dismissal -- either by
27 separate provision . . . or by incorporating the terms of the
28 settlement agreement in the order." Id. That seems to us to be a fair
29 general description of what the IHOs did in the course of the M.L. and

1 M.S. hearings in which they agreed to endorse the agreements, and in
2 the endorsement of those agreements themselves.¹⁴

3 We conclude that M.L. and M.S. were "prevailing parties"
4 entitled to attorneys' fees under 20 U.S.C. § 1415(i)(3)(B).

5 V. Calculation of Attorneys' Fees

6 The IDEA provides that the fees that district courts award
7 must be "reasonable" and "based on rates prevailing in the community in
8 which the action or proceeding arose for the kind and quality of
9 services furnished." 20 U.S.C. § 1415(i)(3)(B)-(C). To calculate such
10 attorneys' fees, courts apply the "lodestar" method, "whereby an
11 attorney fee award is derived 'by multiplying the number of hours
12 reasonably expended on the litigation [by] a reasonable hourly rate.'" G.M. v. New Britain Bd. of Educ., 173 F.3d 77, 84 (2d Cir. 1999)
13 (quoting Blanchard v. Bergeron, 489 U.S. 87, 94 (1989)). "'[T]here
14 is . . . a strong presumption that the lodestar figure represents a
15 reasonable fee.'" Id. (quoting Quarantino v. Tiffany & Co., 166 F.3d
16 422, 425 (2d Cir. 1999) (omission in original)); accord I.B. ex rel.
17

¹⁴ In a footnoted dictum, we have indicated that Buckhannon "requires not only the physical incorporation of the settlement in a district court's order but also some evidence that a district court intended to place its 'judicial imprimatur' on the settlement." Torres v. Walker, 356 F.3d 238, 245 n.6 (2d Cir. 2004) (quoting Buckhannon, 532 U.S. at 605). If that is so, and if the requirement applies to administrative hearings, the requirement is met here. The on-the-record hearings with counsel present in which the IHOs indicated their approval and intention to order the terms of the agreed settlements, as well as the appearance of the IHO as the sole signatory to the first M.L. Statement of Agreement and Order, we think, easily meet Torres's "some evidence" test.

1 Z.B. v. N.Y. City Dep't of Educ., 336 F.3d 79, 80 (2d Cir. 2003) (per
2 curiam).

3 A. Community in Which the Action or Proceeding Arose

4 The DOE complains that the district judges wrongly looked to
5 the legal "community" of the Southern District of New York, where they
6 sit, rather than to the community of all practitioners appearing before
7 the DOE in IDEA administrative actions, to measure the level of legal
8 fees to be awarded to the Parents' counsel.¹⁵

9 The "community" to which the IDEA's fee calculation provision
10 refers is typically measured by the geographic area in which "the
11 action was commenced and litigated." Arbor Hill Concerned Citizens
12 Neighborhood Ass'n v. County of Albany, 369 F.3d 91, 94 (2d Cir. 2004)
13 (per curiam) (analyzing similar provision in Voting Rights Act of
14 1965). Where the legal dispute has been pursued through an action in
15 federal court, "[n]ormally a district court, awarding attorney's fees
16 under [a fee-shifting statute], will consider the prevailing rates in
17 the district in which the court sits." Polk v. N.Y. State Dep't of
18 Corr. Servs., 722 F.2d 23, 25 (2d Cir. 1983) (analyzing award of

¹⁵ The notion that the district court looked only to that community is something of an oversimplification. In arriving at an hourly rate of \$350 for the representation at issue, the court in M.S. credited the affidavits of four lawyers whose practice consists, at least in part, of representation in administrative law and educational law matters, and who command hourly rates between \$300 and \$350. At least two of those lawyers have represented parents in IDEA administrative proceedings. The four affiants did not distinguish between what they charged for representing clients in special education matters that arose in the Eastern and Southern Districts of New York. The district court, in determining fees in S.W. and M.M.'s case, relied in part on this determination. See S.W., 257 F. Supp. 2d at 604.

1 attorney's fees under 42 U.S.C. § 1988 in action brought under 42
2 U.S.C. § 1983); accord Cruz v. Local Union No. 3 of Int'l Bhd. of Elec.
3 Workers, 34 F.3d 1148, 1159 (2d Cir. 1994).

4 The disputes in the cases before us were pursued through IDEA
5 administrative proceedings, not the district court. The DOE exercises
6 jurisdiction over public schooling in the five boroughs of the City of
7 New York, three of which lie within the jurisdiction of one United
8 States District Court and two of which are in another. The students
9 involved in these proceedings attended schools in Bronx and New York
10 Counties, both in the Southern District of New York; administrative
11 hearings were held there as well as in Kings County, which is in the
12 Eastern District. The Parents' lawyers have their offices in New York
13 County, in the Southern District. Our case law does not provide us
14 with a litmus test for determining, in these circumstances, the
15 "community" in which the proceedings for which the Parents were awarded
16 legal fees "arose." See, e.g., I.B., 336 F.3d at 81 (considering issue
17 of whether fees under IDEA should reflect rates for general litigation
18 or for representations in administrative hearings only, without
19 addressing choice of community); G.M., 173 F.3d at 84 (remanding for
20 determination of fees under IDEA without discussing the community the
21 district court should consider in determining fees).

22 The Parents urge us to affirm the district court's fee awards
23 because the awards comply with the "typical" rule. They suggest that
24 the cases arose entirely or principally in the Southern District of New
25 York and contend that the district court therefore properly treated the
26 rates prevailing in the Southern District as the fee structure against

1 which the attorneys' fees here should be measured. The DOE contends to
2 the contrary that the relevant community must be co-extensive with the
3 group of lawyers who bring IDEA actions against the DOE: in other
4 words, that the district court must refer to rates across the five
5 boroughs of the City of New York in determining a reasonable rate for
6 representation in IDEA hearings.

7 The text of section 1415(i)(3)(C) does not appear to compel
8 either conclusion. The requirement that the relevant community be that
9 "in which the action or proceeding arose" does not tell us whether a
10 proceeding "arises" where it is held (in this case, the Southern and
11 Eastern Districts) or where the events that gave rise to the hearings
12 occurred (here, the Southern District). Nor can we conclude from the
13 fact that the proceedings undeniably arose in the City of New York as a
14 whole that they cannot also be said to have arisen in the Southern
15 District of New York or that the community of lawyers throughout the
16 City of New York, i.e., those lawyers appearing before the DOE, is
17 necessarily the only group whose fees provide the yardstick by which to
18 measure the fee award.

19 The DOE expresses a concern that, under what it sees as the
20 district court's district-specific approach, the same lawyer may be
21 paid at different hourly rates for services rendered in similar
22 proceedings against the same defendant depending upon the happenstance
23 of where in New York City, and therefore in which federal district,
24 "the action or proceeding [against the DOE] arose." But such
25 distinctions are inherent in any approach that distinguishes between
26 the level of legal payments in different districts when setting the

1 rate at which legal fees are to be awarded: The same lawyer may be
2 paid at different rates with respect to otherwise identical legal
3 services provided in cases heard in the Southern District of New York
4 (New York County (Manhattan), Bronx County, and points north) from
5 those at which he or she is paid with respect to legal services
6 provided in cases heard in the Eastern District of New York (Richmond
7 County (Staten Island), Kings County (Brooklyn), Queens County, and
8 points east). So long as the law provides for or permits fee awards
9 based on geographic markets for services, a lawyer may be paid at
10 different rates for otherwise indistinguishable services. As the
11 District of Columbia Circuit observed, this approach provides
12 a neutral rule which will not work to any clear
13 advantage for either those seeking attorneys' fees
14 or those paying them. High-priced attorneys coming
15 into a jurisdiction in which market rates are lower
16 will have to accept those lower rates for
17 litigation performed there. Similarly, some
18 attorneys may receive fees based on rates higher
19 than they normally command if those higher rates
20 are the norm for the jurisdiction in which the suit
21 was litigated.
22 Donnell v. United States, 682 F.2d 240, 251-52 (D.C. Cir. 1982), cert.
23 denied, 459 U.S. 1204 (1983).¹⁶

¹⁶ We also note that there is an advantage for a district court to set rates principally looking to standards prevailing within its own district. Doing so enables the court to develop and apply expertise regarding the prevailing market rates there, among lawyers with whom and with whose practice the court has ready familiarity. See Donnell, 682 F.2d at 251. Thus, in S.W., to determine a reasonable hourly rate for legal representation of S.W. and M.M. at IDEA administrative hearings, the district court relied on the court's experience with attorneys' fees within the district in M.S., Mr. X v. New York State Education Department, 20 F. Supp. 2d 561, 563-64 (S.D.N.Y. 1998), and Marisol A. v. Giuliani, 111 F. Supp. 2d 381, 386 (S.D.N.Y. 2000). See S.W., 257 F. Supp. 2d at 604. Similarly, to decide a reasonable hourly rate for A.R., M.S. and M.L., the district court relied on the

1 We acknowledge, on the other side of the ledger, that there
2 is good reason for a district court not to be wed to the rates in its
3 own community. If they are lower than those in another district,
4 skilled lawyers from such other district will be dissuaded from taking
5 meritorious cases in the district with lower rates. If lawyers are
6 paid for their participation in IDEA proceedings that clearly arise in
7 Queens at a rate considerably lower than what they are paid for
8 representation in proceedings that clearly arise in Manhattan,
9 experienced, Manhattan-based lawyers like Mr. Hampden, Mr. Silverblatt,
10 and Mr. Mayerson may decide to devote their time and expertise to IDEA
11 cases that arise in Manhattan rather than those cases in which parents
12 are equally needful of their services, but that arise in Queens.¹⁷

13 But we need not arrive at a crisp rule that a district court
14 must employ to make this determination. We have held in somewhat
15 comparable circumstances that the district court has discretion -- to
16 be sure, discretion that may not be abused -- to determine the relevant
17 community for calculating attorneys' fees where the case was not
18 commenced and litigated in a single federal district. See Polk v. N.Y.
19 State Dep't of Corr. Servs., 722 F.2d 23, 24 (2d Cir. 1983)
20 (concluding, where the case was justifiably commenced in the Southern

court's assessment of fees in Mr. X. See M.S., 2002 WL 31556385,
at *5, 2002 U.S. Dist. LEXIS 22220, at *14.

¹⁷ We recognize that a district court can take into account
the expertise of a lawyer or the need to import a lawyer from a
distant forum in setting his or her fees, see Arbor Hill, 369
F.3d at 96-97; Luciano v. Olsten Corp., 109 F.3d 111, 116 (2d
Cir. 1997); Polk, 722 F.2d at 25, but do not think that that
possibility would likely affect a lawyer's choice of engagements.

1 District but later transferred to the Northern District for improper
2 venue, that the district court "had discretion to award a fee based on
3 either the Southern District or Northern District").

4 Similarly here, there is more than one community that the
5 district court might reasonably have considered in determining fees.
6 But the contacts between the administrative proceedings and the
7 Southern District, which is the place where the clients reside, where
8 the facts giving rise to their successful claims occurred, and where
9 the lawyers who represented them hang their shingles, appear clearly to
10 predominate. By comparison, the fact that the DOE held hearings on the
11 other side of an intra-city bridge provides minimal support for
12 treating the place where the proceedings were held as that in which
13 they "arose" on the facts of these cases. Although none of this means
14 that the district court was required to reach the result that it did,
15 it does confirm that the court did not abuse its discretion in treating
16 the Southern District as "the community in which the . . . proceeding
17 arose," and therefore in relying primarily on the level of legal fees
18 charged by comparable lawyers in comparable circumstances in the
19 Southern District of New York in setting legal fees for the plaintiffs
20 under the IDEA.

21 B. Reasonableness of the Rate

22 1. Fees in M.S.'s, M.L.'s, and A.R.'s cases. As we have
23 noted, the district court credited the affidavits submitted on behalf
24 of M.S., M.L., and A.R. in which special education lawyers represented
25 that they charged fees of \$300 to \$350 per hour in the Southern
26 District. M.S., 2002 WL 31556385, at *4 & n.2, 2002 U.S. Dist. LEXIS

1 22220, at *12-*13 & n.2. The district court also observed that in
2 1998, the Southern District awarded attorneys' fees at an hourly rate
3 of \$375 for representation at an IDEA hearing and subsequent litigation
4 in federal court. M.S., 2002 WL 31556385, at *5, 2002 U.S. Dist. LEXIS
5 22220, at *14 (citing Mr. X v. N.Y. State Educ. Dep't, 20 F. Supp. 2d
6 561, 565 (S.D.N.Y. 1998)). It was not an abuse of discretion for the
7 district court to credit evidence submitted by the plaintiffs instead
8 of countervailing evidence submitted by the defendant. See I.B. ex
9 rel. Z.B. v. N.Y. City Dep't of Educ., 336 F.3d 79, 81 (2d Cir. 2003)
10 (per curiam).

11 The district court found unpersuasive the affidavits and
12 arguments submitted by the DOE indicating that the prevailing rate
13 should be \$125 to \$175 per hour. See M.S., 2002 WL 31556385, at *5,
14 2002 U.S. Dist. LEXIS 22220, at *16-*17. Similarly, the district court
15 found irrelevant the two lawyers' declarations supporting the DOE's
16 assertion that a lower hourly rate was appropriate. See M.S., 2002 WL
17 31556385, at *5 n.4, 2002 U.S. Dist. LEXIS 22220, at *16 n.4. The
18 court also found unpersuasive the DOE's records of the amounts that it
19 previously paid to lawyers for representation at IDEA administrative
20 hearings. See M.S., 2002 WL 31556385, at *5, 2002 U.S. Dist. LEXIS
21 22220, at *15-*17. The court noted that these figures did not
22 accurately represent market figures because many of these payments
23 involved settlements for amounts substantially less than the requested
24 attorneys' fees. See id. We conclude that the district court did not
25 abuse its discretion when it determined, based on the evidence before

1 it, that a range of \$300 to \$350 was reasonable for representation at
2 the administrative hearings.

3 Nor did the district court abuse its discretion in awarding
4 the LSC lawyers fees at the top end of this range based on their
5 experience.¹⁸ Even though much of the lawyers' careers was spent
6 practicing in areas other than education law, their overall legal
7 experience merited a higher hourly rate because "most important legal
8 skills are transferrable." I.B., 336 F.3d at 81. Indeed, we have
9 observed that Mr. Hampden, who is one of the lawyers in the instant
10 case, possesses considerable experience in education law despite having
11 spent much of his career focusing on different kinds of law. Id.

12 2. Fees in S.W. and M.M.'s case. In S.W. and M.M.'s case,
13 the district court awarded fees for representation during the

18

Plaintiffs' attorneys in this case are likewise the beneficiaries of many years of experience (thirty-five years in Mr. Hampden's case; twenty-four in Mr. Silverblatt's) in areas outside the IDEA. Furthermore, their prior experience, while not focused exclusively on the IDEA, was in related areas of law: Mr. Hampden has spent much of his career at legal services organizations (Legal Aid Society of New York City; Bronx Legal Services; Westchester/Putnam Legal Services), representing clients in administrative hearings and litigation related thereto; Mr. Silverblatt was an attorney in the Juvenile Rights Division of the Legal Aid Society for twenty years prior to joining LSC, in which capacity he served as law guardian to countless children, representing them in numerous administrative proceedings before defendant Board.

M.S., 2002 WL 31556385, at *5, 2002 U.S. Dist. LEXIS 22220, at *14-*15 (footnote omitted).

1 administrative hearing at the rate of \$350 per hour. S.W., 257 F.
2 Supp. 2d at 604-05. S.W. and M.M.'s child, N.W., attended school in
3 Manhattan. Their administrative proceedings appear to have taken place
4 in Brooklyn. In awarding them fees at an hourly rate of \$350 for the
5 administrative hearings, the district judge relied upon the decisions
6 in M.S., 2002 WL 31556385, at *4 n.2, 2002 U.S. Dist. LEXIS 22220, at
7 *13 n.2, and Mr. X, 20 F. Supp. 2d at 563-64, that such a rate was
8 reasonable for services of this type in the Southern District. S.W.,
9 257 F. Supp. 2d at 604. The district court also relied on its decision
10 in R.E. ex rel. Z.E. v. New York City Board of Education, District 2,
11 No. 02 Civ. 1067, 2003 WL 42017, at *2, 2003 U.S. Dist. LEXIS 58, at
12 *6-*7 (S.D.N.Y. Jan. 6, 2003), in which it concluded that \$350 per hour
13 in 1998 and \$365 per hour in 1999 were reasonable hourly rates for
14 Mayerson for representation in IDEA administrative hearings. S.W., 257
15 F. Supp. 2d at 604-05. For the reasons stated above, the district
16 judge in S.W. and M.M.'s case had the discretion to consider the
17 Southern District as the relevant community in setting fees. Because
18 we affirm the court's decision in M.S., we also find here that awarding
19 fees at a rate of \$350 was not an abuse of discretion.

20 The district court awarded S.W. and M.M. attorneys' fees at a
21 rate of \$375 per hour for their fee application in the district court.
22 Id. at 607. The district court awarded a higher rate for the fee
23 application because it found that attorney Mayerson's expertise,
24 experience, and fees had increased from the time of the administrative
25 hearings. Id. The DOE contends that the hourly rate for the fee
26 dispute in the district court should, as a matter of law, be the same

1 as the rate for representation for the earlier administrative
2 representation because "the fees application is ancillary to the
3 administrative proceeding." Appellant's Br. at 38, S.W. ex rel. N.W.
4 v. Bd of Educ. (Dist. Two). We disagree. An award of attorneys' fees
5 under the "lodestar [method] should be based on prevailing market
6 rates, and current rates, rather than historical rates, should be
7 applied in order to compensate for the delay in payment." LeBlanc-
8 Sternberg v. Fletcher, 143 F.3d 748, 764 (2d Cir. 1998) (internal
9 quotation marks and citations omitted). A district court awarding
10 attorneys' fees for both administrative proceedings and a subsequent
11 fee dispute therefore need not determine the fees for the later action
12 on the basis of the earlier administrative proceeding.

13 C. For the Kind and Quality of Services Furnished

14 The DOE argues that because section 1415(i)(3)(C) limits
15 rates for calculating attorneys' fees to those for "the kind and
16 quality of services furnished," the relevant market for determining
17 reasonable rates for representation in administrative proceedings is
18 the market for representation at the administrative level. The DOE
19 asserts that administrative proceedings are "not legally complex,"
20 Appellant's Br. at 22, A.R. ex rel. R.V. v. N.Y. City Dep't of Educ.,
21 and that, as a matter of law, they involve legal assistance of a
22 different "kind and quality" than is involved in representation in
23 court proceedings, id. at 45. The DOE asserts that the district judges
24 therefore erred in determining the reasonable hourly rates for
25 administrative representation by relying on hourly rates for
26 proceedings commenced in federal courts.

1 While any comparison of degrees of difficulty of
2 administrative and judicial hearings may itself raise considerable
3 difficulties, we conclude that we need not address that issue here.
4 The district court, in determining attorneys' fees for the
5 administrative proceedings, relied substantially on hourly rates for
6 representation in administrative proceedings. Cf. I.B., 336 F.3d at 81
7 (declining to decide whether hourly rates for representation in IDEA
8 administrative hearings must be decided on the basis of "what other
9 counsel charge 'at Impartial Hearings'" because the district court's
10 fee award was already based on such information). Two of the
11 affidavits that were submitted by the LSC plaintiffs in support of
12 their motions for attorneys' fees describe lawyers' hourly rates in the
13 Southern District of New York for administrative representation. As we
14 have noted, both district judges relied on the court's previous
15 decision in Mr. X, which involved attorneys' fees for IDEA
16 administrative hearings. See S.W., 257 F. Supp. 2d at 604 (citing Mr.
17 X, 20 F. Supp. 2d at 563-64); M.S., 2002 WL 31556385, at *5, 2002 U.S.
18 Dist. LEXIS 22220, at *14 (same). And in S.W., the district court also
19 relied on M.S., which, of course, also deals with fees in
20 administrative hearings. S.W., 257 F. Supp. 2d at 604. Whether or not
21 it would have been an abuse of discretion for either judge to rely
22 solely on the level of attorneys' fees prevailing in judicially
23 litigated matters, they did not do so here.

1 D. Counsel Fees on Appeal

2 Counsel may be entitled to further legal fees in connection
3 with their defense of this appeal by the DOE. See G.M. v. New Britain
4 Bd. of Educ., 173 F.3d 77, 84 (2d Cir. 1999) (awarding attorneys' fees
5 for an appeal brought in connection with an IDEA proceeding). We
6 remand for the respective district judges to determine whether they
7 are, and if so, the amount of such fees.

8 **CONCLUSION**

9 For the foregoing reasons, we affirm the judgment of the
10 district court, but remand these cases to the respective district
11 judges for a determination of attorneys' fees for legal services
12 rendered with respect to this appeal, if any.